Five Core Capacities of Effective Leaders

What does effective leadership look like? What key leadership capacities will help us leverage our time, energy and resources – as individuals and as a system – to generate results?

In this issue of Ideas into Action we present a brief overview of the five Core Leadership Capacities (CLC) derived from Ontario’s Leadership Framework that have been adopted by the Ministry of Education as a key focus for capacity building beginning in 2009/10:

1. Setting goals
2. Aligning resources with priorities
3. Promoting collaborative learning cultures
4. Using data
5. Engaging in courageous conversations.

While all of the leadership capacities identified in the Framework are important, and contribute to student achievement and well-being, the ministry has identified the five CLCs as key to making progress on the province’s current educational goals. Thus, these five CLCs will be embedded in all provincially-sponsored professional learning and resources for school and system leaders beginning in the 2009/10 school year.
Ontario’s approach to system-wide improvement has yielded measurable results and attracted the attention of jurisdictions around the world.

As our knowledge continues to expand and evolve, leadership has emerged as a critically important factor necessary not only to sustain this improvement, but also to accelerate our progress toward the three core priorities set out in *Energizing Ontario Education*:

- High levels of student achievement
- Reduced gaps in student achievement
- Increased public confidence in publicly funded education.

Providing supports to help Ontario’s educational leaders develop into the best possible instructional leaders continues to be a goal of the Ontario Leadership Strategy (OLS).

The *Ontario Leadership Framework* (OLF) is an essential resource that school and system leaders have available to them to guide their individual leadership development. The Framework is an integral foundation of the OLS and provides leaders across the province with a clear roadmap to successful leadership. For the past two years school and system leaders across the province have been using the Framework as a resource to reflect on their practice and build their expertise in a wide range of leadership capacities.

**WHAT WE HAVE LEARNED**

According to Richard Elmore (2007), the condition necessary for successful school leadership is the leader’s capacity to improve the quality of instructional practice.

The best possible instructional leadership cannot be accomplished simply by having a leadership framework of effective leadership practices and personal leadership resources in place. Rather, there is a need to provide continuous guidance and support to help Ontario’s educational leaders further develop and strengthen their practice, with a particular emphasis on the “deep instructional practices” that Elmore and others point to, as a focus for capacity building.
At the same time, research and practice have revealed increasingly that our approach to capacity building matters.

Capacity, argues Michael Fullan in *The Six Secrets of Change* (see *In Conversation*, Fall 2008), is more than knowledge and skills. It also involves using resources wisely, and committing to get important things done collectively and continuously. It is a combination of skills and knowledge, attitudes and actions taken together, and is focused on generating results.

While training, workshops and summer institutes are valuable to a point, says Fullan, they represent only part of the solution. No matter how well done – and even if they are team-based – these approaches are only input to capacity building.

In contrast to this “indirect” capacity building, Fullan stresses the importance of ‘direct’ capacity building which involves application, coaching, monitoring, exchanging ideas and strategies, assessment for learning, and other results-focused activities that take place in the leadership context.

**SHARPENING OUR FOCUS**

Based on these findings and drawing on the advice of research and education partners, the Ministry of Education has adopted the five Core Leadership Capacities as a ministry-wide focus to be embedded in all provincially-sponsored professional learning and resources for school and system leaders beginning in 2009/10.

This important new direction will ensure that capacity building activities associated with all ministry initiatives are aligned consistently around these five core capacities. Equally important, it will ensure that school leaders benefit from direct capacity building that is integrated into the day-to-day “business” of the ministry, boards and schools.

“The best advice I can give to Ontario’s school leaders is to learn how to manage instruction. It’s going to make you a stronger practitioner, more influential in the organization and a leader in helping to develop other people’s practice…”

– Elmore, 2007

“Capacity building is not an end in itself. It needs to be linked explicitly to results.”

– Levin and Fullan, 2008

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The Five Core Leadership Capacities
to help strengthen your leadership practice.

1. Setting Goals
This capacity refers to working with others to help ensure that goals are strategic, specific, measurable, attainable, results-oriented, and time-bound (SMART) and lead to improved teaching and learning. For example:

- Develop and communicate a shared vision for the school that sets a context for goal-setting
- Lead groups to use evidence and inquiry to establish these goals, monitor progress and make adjustments to plans and/or practices
- Establish important linkages between individual goals, school improvement plans, and school board and provincial priorities.

2. Aligning Resources with Priorities
This capacity focuses on ensuring that financial, capital, human resources, curriculum and teaching resources, professional learning resources and program allocations are tied to priorities, with student achievement and well-being as the central, unambiguous focus. For example:

- Engage teachers, staff, the school board and the broader community to join in decisions related to resource allocation and share ownership for the results
- Collaborate with other schools and/or districts to learn about effective practices and to share resources wherever possible.

3. Promoting Collaborative Learning Cultures
This capacity is about enabling schools, school communities and districts to work together and learn from each other with a central focus on improved teaching quality and student achievement and well-being. For example:

- Facilitate a shared understanding and ownership of student achievement and well-being as a central focus for collaboration

"Professional communities are born and nurtured in webs of conversation. What we talk about in our schools and how we talk about those things says much about who we are, who we think we are, and who we wish to be both in the moment and in the collective future that we are creating for ourselves as colleagues and for the students we serve."

– Garmston and Wellman, 2009
among staff, federations, associations, the school board and the
diverse school community
• Improve and build on existing models of professional learning
communities
• Enable teamwork and collective decision-making among teachers
and staff, providing opportunities for teacher-leadership
• Engage parents and the broader school community to be part
of the learning culture.

4. Using Data
This capacity is about leading and engaging school teams in
gathering and analyzing provincial, district, school and classroom
data to identify trends, strengths and weaknesses that will inform
specific actions for improvement focused on teaching and learning.
For example:

• Use data to inform school improvement plans
• Move beyond technical aspects of using data to address adaptive
challenges such as gaining support for data use, managing
emotional issues that may arise, and building staff confidence
and sense of efficacy around the use of data
• Use data to foster a school culture in which staff:
  – have high expectations for student achievement
  – assess student performance and modify practice
    based on findings
  – take ownership for the results.

5. Engaging in Courageous Conversations
This capacity relates to challenging current practices and fostering
innovation through conversation, to listen and to act on feedback,
and to provide feedback that will lead to improvements in student
achievement and well-being. For example:

• Build relational trust and establish a culture in which courageous
  conversations and feedback are seen as necessary for improvement
• Challenge assumptions at both the individual and the organiza-
tional level
• Integrate description, analysis, prediction and a discussion of the
  next level of work in every debrief with staff about teaching and
  learning.
A Call to Action

School and system leaders can expect to find a sustained emphasis on capacity building focused on the five CLCs beginning in 2009/10. All provincial leadership associations have endorsed these CLCs as a priority for the coming year and will continue to work with the ministry to reflect them in the professional learning they deliver. Boards are also encouraged to consider ways to support development in the areas of the five CLCs.

The work related to these capacities will strengthen and further align work that is already underway in the ministry, school boards and schools to build leadership competencies and practices. To support this work, Ideas into Action will be distributed on an occasional basis and will offer ideas and strategies drawn from current, credible research.

We hope this bulletin enriches your professional learning experience and provides a powerful springboard for putting ideas into action.

Learn more about the Ontario Leadership Strategy at www.ontario.ca/eduleadership
### Making Connections: Five Core Leadership Capacities and the Ontario Leadership Framework 2012

This chart illustrates the way in which the CLCs are embedded in the Ontario Leadership Framework 2012.

<table>
<thead>
<tr>
<th>Core Leadership Capacity</th>
<th>Sample domains and related practices of the Ontario Leadership Framework 2012</th>
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<tbody>
<tr>
<td><strong>1. Setting Goals</strong></td>
<td><strong>Setting Directions</strong>: Building a shared vision: Establish, in collaboration with staff, students, and other stakeholders, an overall sense of purpose or vision for work in their schools to which they are all strongly committed. <strong>Improving the Instructional Program</strong>: Monitoring progress in student learning and school improvement: Incorporate the explicit use of data when making decisions that relate to student learning and school improvement. <strong>Securing Accountability</strong>: Building staff members’ sense of internal accountability: Help staff make connections between school goals and ministry goals in order to strengthen commitment to school improvement efforts.</td>
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<td><strong>2. Aligning Resources with Priorities</strong></td>
<td><strong>Setting Directions</strong>: Building a shared vision: Help staff and diverse stakeholders understand the relationships between the school’s vision and board and provincial policy initiatives and priorities. <strong>Developing the Organization to Support Desired Practices</strong>: Allocating resources in support of the school’s vision and goals: Distribute resources in ways that are closely aligned with the school’s improvement priorities. <strong>Securing Accountability</strong>: Meeting the demands for external accountability: Align school targets with board and provincial targets.</td>
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<td><strong>3. Promoting Collaborative Learning Cultures</strong></td>
<td><strong>Building Relationships and Developing People</strong>: Stimulating growth in the professional capacities of staff: Facilitate opportunities for staff to learn from each other. <strong>Developing the Organization to Support Desired Practices</strong>: Building collaborative cultures and distributing leadership: Foster open and fluent communication toward building and sustaining professional learning communities. <strong>Improving the Instructional Program</strong>: Providing instructional support: Provide teachers with the opportunity to observe effective instructional practices among colleagues in their own school as well as in other schools.</td>
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<td><strong>5. Engaging in Courageous Conversations</strong></td>
<td><strong>Building Relationships and Developing People</strong>: Providing support and demonstrating consideration for individual staff members: Consider staff members’ opinions when initiating actions that affect their work. <strong>Developing the Organization to Support Desired Practices</strong>: Building collaborative cultures and distributing leadership: Encourage a willingness to compromise among collaborators. <strong>Securing Accountability</strong>: Building staff members’ sense of internal accountability: Regularly engage staff in analyzing data on the learning progress of the learning progress of all students.</td>
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REFERENCES


Leithwood, K. et al. (Forthcoming, 2009). *Learning from districts’ efforts to improve student achievement: Final report of research to the Wallace Foundation*. Wallace Foundation.


